

FEB 03 2005

PTO/SB/07 08-00

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Deborah J. Mouzon  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate  
must identify each submitted paper.

Attorney Docket # **1943.ALC**  
 In re Application: **RODRIGUES, Klein A** Group Art 1773  
 Application Number: **09/920,498** Filed: **08/01/2001** Examiner: **TARAZANO, Donald L.**  
 For: **HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND THEIR USE IN  
SURFACE PROTECTING FORMULATIONS**  
 Enclosed are: Fee Transmittal FY '2005  
 Request to Correct Inventorship Under 37 C.F.R. § 1.1.48(a)  
 Petition to Correct Inventorship Under 37 C.F.R. § 1.1.48(a)  
 Substitute Declaration – 3 pgs.  
 Assignee's Consent Under 37 C.F.R. § 1.1.48(a)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)
**Complete If Known**

Application Number	09/920,498
Filing Date	1 August 2001
First Named Inventor	Rodrigues, Klein A. et al.
Examiner Name	Tarazano, Donald L.
Art Unit	1773
Attorney Docket No.	1943.ALC

**METHOD OF PAYMENT** (check all that apply)
 Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

 Deposit Account Deposit Account Number: 14-0455 Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee DescriptionEach claim over 20 (including Reissues) Fee (\$) Small EntityEach independent claim over 3 (including Reissues) Fee (\$) Small EntityMultiple dependent claims Fee (\$) Small Entity

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 20 or HP =	x	=			50	25

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 3 or HP =	x	=		200	100

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x		

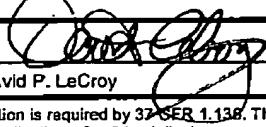
**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Processing fee Under C.F.R. § 1.17(q) Fees Paid (\$)

\$50.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 37,869	Telephone 908-685-5433
Name (Print/Type)	David P. LeCroy		Date 02/02/05

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 1943.ALC  
PATENT APPLICATION

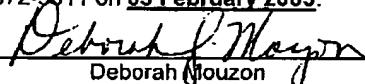
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANTS: RODRIGUES, Klein A. *et al.*  
SERIAL NO.: 09/920 498 GROUP ART UNIT: 1773 FEB 03 2005  
FILED: 1 August 2001 EXAMINER: TARAZANO, Donald Lawrence  
TITLE: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONS

CERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah J. Mouzon

Mail Stop Fee Amendment  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

Pursuant to Rules 48(a), Applicants respectfully request that the inventorship of the above captioned United States Patent Application be amended to add the following inventors, whose names were omitted through error without any deceptive intention on the part of the omitted inventors –

Martin Crossman, Hixson, TENNESSEE

The subject application was filed in the name of Klein A. Rodrigues and Michael Eknoian. Following the filing of the subject application, it was discovered that the above inventor should also have been named as an inventor. Failure to include Martin Crossman as an inventor was inadvertent and made without deceptive intent.

Jointly filed with this Request are the following documents required under 37 C.F.R. § 1.48

(a):

- (1) a petition signed by Martin Crossman that includes a statement that the error in inventorship occurred without deceptive intention his part;
- (2) a declaration signed by the actual inventors as required by 37 C.F.R. § 1.63;
- (3) a fee transmittal authorizing the Office to charge the processing fees pursuant to Rule 17(q) to Applicants' Deposit Account; and
- (4) the written consent of National Starch and Chemical Investment Holding Corporation, an assignee of the subject application, as required by 37 C.F.R. § 3.73 (b).

Favorable and early action is respectfully solicited.

Respectfully submitted,



Dated: 2 February 2005

NATIONAL STARCH AND CHEMICAL  
COMPANY  
10 Finderne Avenue  
Bridgewater, New Jersey 08807  
Phone 908.685.5433  
Fax 908.707.3706

David P. Lecroy  
Registration No. 37,869  
Attorney for Applicant(s)

Attorney Docket No. 1943.ALC  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED  
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FEB 03 2005

APPLICANTS: RODRIGUES, Klein A. *et al.*

SERIAL NO.: 09/920 498 GROUP ART UNIT: 1773

FILED: 1 August 2001 EXAMINER: TARAZANO, Donald Lawrence

TITLE: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONS

CERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah Mouzon

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

I, Martin Crossman, hereby declare -

The subject application was filed in the United States Patent and Trademark Office on 1  
August 2001.

Following the filing of this application it was discovered that I should have been named as an  
inventor, along with Klein A. Rodrigues and Michael Eknoian.

The original and first inventors of the subject matter claimed and for which a patent is sought,  
as evidenced by the accompanying declaration (37 C.F.R. § 1.63), are believed to be Klein A.  
Rodrigues, Michael Eknoian and Martin Crossman.

The error in inventorship occurred without deceptive intent on my part.

I further declare that all statements made herein of my own knowledge are true and that all  
statement made on information and belief are believed to be true; and further that these statements  
were made with the knowledge that willful false statements and the like so made are punishable by a

fine or imprisonment or both under 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Dated: 27 Jan 2005

  
\_\_\_\_\_  
Martin Crossman

PATENT APPLICATION  
Attorney Docket No. 1943.ALC

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

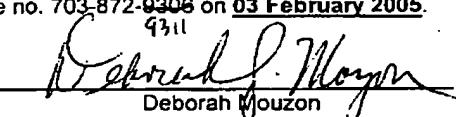
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FEB 03 2005APPLICANTS: RODRIGUES, Klein A. *et al.*

SERIAL NO.: 09/920 498 GROUP ART UNIT: 1773

FILED: 1 August 2001 EXAMINER: TARAZANO, Donald Lawrence

ENTITLED: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONSCERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah J. Mouzon

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

ASSIGNEE'S CONSENT UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

The undersigned officer of National Starch and Chemical Investment Holding Corporation, an assignee of the subject application by virtue of the assignment document executed on 20 July 2001 by inventors Klein A. Rodrigues and Michael Eknoian, hereby consents to the addition of Martin Crossman as inventor.

As signatory below, I hereby state that I am authorized to act on behalf of National Starch and Chemical Investment Holding Corporation.

Dated: JAN. 28, 2005

NATIONAL STARCH AND CHEMICAL  
INVESTMENT HOLDING CORPORATION  
1000 Uniqema Boulevard  
New Castle, Delaware 19720



Barbara S. Curran, Secretary